



2/27/03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Yoshimura, et al.**

Serial No.: **09/295,431**

Filed: **April 20, 1999**

For: **MULTI-LAYER OPTO-ELECTRONIC
SUBSTRATES WITH ELECTRICAL AND
OPTICAL INTERCONNECTIONS AND
METHODS FOR MAKING**

Art Group Unit: **2877**

Examiner: **Michael P. Mooney**

Attorney Docket: **6136/53461**

**CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8A)**

I hereby certify that this correspondence is, on the date shown below, being:

(X) deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

() transmitted by facsimile to the Patent and Trademark Office.

2/4/2003
Date

Hal R. Yeager

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

The citation(s) listed on the enclosed PTO-1449 Form is(are) submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make this(these) citation(s) officially of record in the application. This Information Disclosure Statement is being submitted after the mailing of the first Office Action for the above-identified application and, to the knowledge of the undersigned, before the mailing of either a final action or a notice of allowance.

Certification under 37 C.F.R. §1.97(d) and (e).

Pursuant to 37 C.F.R. §1.97(e)(2), the undersigned, Hal R. Yeager, hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the

INFORMATION DISCLOSURE STATEMENT - Supplemental
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knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement.

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,



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February 4, 2003
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